

Enantioselective Aldol Cyclodehydrations Catalyzed by Antibody 38C2

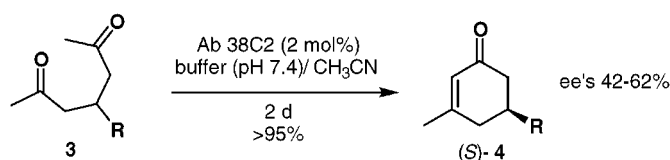
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ABSTRACT



Aldolase antibody 38C2 catalyzes the enantioselective aldol cyclodehydration of 4-substituted-2,6-heptanediones (**3**) to give enantiomerically enriched 5-substituted-3-methyl-2-cyclohexen-1-ones (**4**). Yields, enantioselectivities, and product purities are markedly increased compared to the L-proline-catalyzed reactions.

Enantioselective reactions typically rely on the differentiation between the two enantiotopic faces of an sp² carbon center. Most often this center is connected to an oxygen, a nitrogen, or another carbon atom via a double bond. Examples include the asymmetric dihydroxylation (AD)¹ and the catalytic enantioselective hydrogenation of olefins.² A different type of enantioselectivity is observed in reactions where two enantiotopic groups are differentiated (Figure 1). Despite a few known small molecule catalysts,³ natural enzymes dominate this reaction class. Examples include the esterase-

catalyzed *meso*-diesters and the reverse reaction, the lipase-catalyzed desymmetrization of *meso*-diols.⁴

While catalytic antibodies have been shown to be efficient catalysts for the enantioface-differentiating reactions⁵ and enantiomer-differentiating kinetic resolutions,⁶ enantiogroup-differentiating reactions with catalytic antibodies have rarely been reported.⁷ In this paper we demonstrate the use of aldolase antibody 38C2 for the enantiogroup-differentiating aldol cyclodehydration of 4-substituted-2,6-heptanediones (**3**) to give enantiomerically enriched 5-substituted-3-methyl-2-cyclohexen-1-ones (**4**).

Aldolase antibody 38C2 (Aldrich no. 47,995-0) has been shown to be a highly efficient *and* enantioselective catalyst

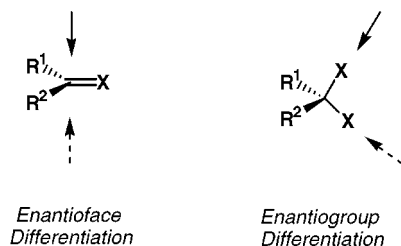


Figure 1.

(1) Johnson, R. A.; Sharpless, K. B. In *Catalytic Asymmetric Synthesis*; Ojima, I., Ed.; VCA: Weinheim, 1993; pp 227–272.

(2) Takaya, H.; Ohta, T.; Noyori, R., ref 1, pp 1–39.

(3) For example, see: Vedejs, E.; Chen, X. *J. Am. Chem. Soc.* **1996**, *118*, 1809–1810.

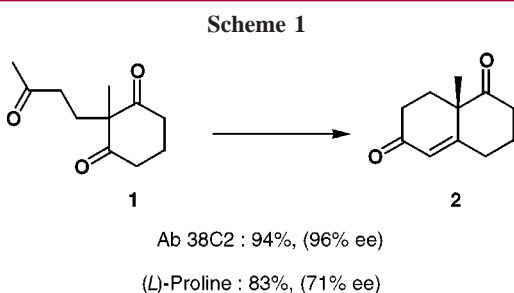
(4) Wallace, J. S.; Baldwin, B. W.; Morrow, C. J. *J. Org. Chem.* **1992**, *57*, 5231–5239.

(5) See, for example: (a) Hsieh, L. C.; Yonkovich, S.; Kochersperger, L.; Schultz, P. G. *Science* **1993**, *260*, 337. (b) Reymond, J.-L.; Janda, K. D.; Lerner, R. A. *J. Am. Chem. Soc.* **1992**, *114*, 2257. See ref 9a.

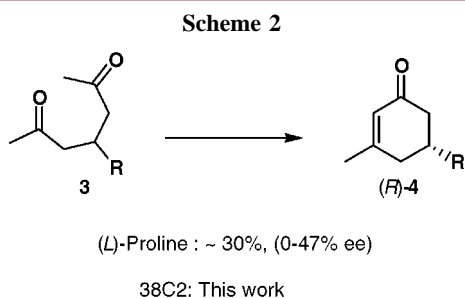
(6) Janda, K. D.; Shevlin, C. G.; Lerner, R. A. *Science* **1993**, *259*, 490. See also ref 9b.

(7) Ikeda, S.; Weinhouse, M. I.; Janda, K. D.; Lerner, R. A. *J. Am. Chem. Soc.* **1991**, *113*, 7763–7764. See also ref 13.

for both aldol and *retro*-aldol reactions with rate accelerations approaching those of natural aldolase enzymes.⁸ Furthermore, and in contrast to its natural counterparts, this antibody is a broad scope aldol catalyst that has been shown to work with over 200 different substrate combinations.⁹ We have used antibody 38C2 in the enantioselective synthesis of naturally occurring pheromone derivatives,¹⁰ deoxy-sugars,¹¹ and in a total synthesis of epothilone A.¹² Furthermore, we demonstrated its use in a preparative scale synthesis of the Wieland–Miescher ketone (**2**) from achiral triketone **1** (Scheme 1).¹³ Traditionally, this reaction is catalyzed by



L-proline.¹⁴ However, here the product is obtained with an ee of 71%. A related transformation that has been catalyzed by L-proline is the enantioselective cyclodehydration of 4-substituted-2,6-heptanediones (**3**) to the 5-substituted-3-methyl-2-cyclohexen-1-ones (**4**) (Scheme 2).¹⁵ Stereochemi-



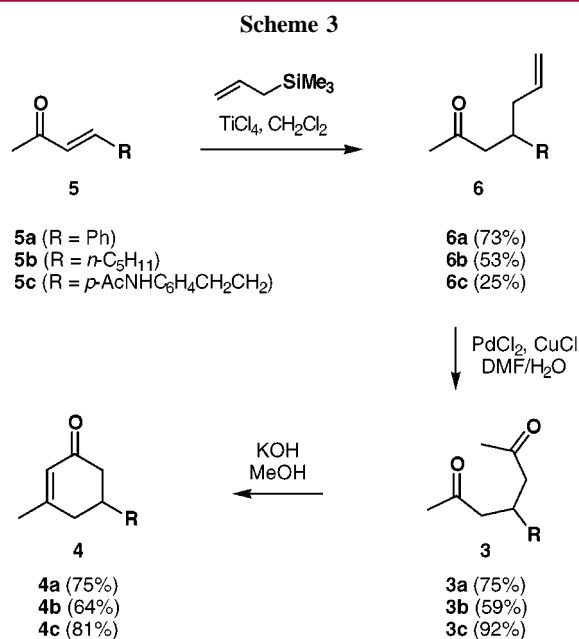
cally, both reactions are enantioselective and probably occur via an enamine mechanism. However, in the

former case (**1** → **2**) the enantiodifferentiation follows enamine formation, while in the latter case (**3** → **4**) enantiodifferentiation occurs upon enamine formation.

In the L-proline-catalyzed reaction, typically low yields and enantioselectivities are observed.

We found that antibody 38C2 catalyzes the cyclodehydration of **3** (R = H) quite efficiently with $k_{\text{cat}} = 0.082 \text{ min}^{-1}$, $K_M = 2 \text{ mM}$, and $k_{\text{cat}}/k_{\text{uncat}} = 1.2 \times 10^7$.^{9a} The question was whether antibody 38C2 was capable of catalyzing this transformation with enantioselectivity when provided with substrates **3** where R ≠ H.

For the synthesis of the starting 1,5-diketones **3a–c**, we followed a route that has been developed by Sakurai and co-workers.¹⁶ Thus, Lewis acid mediated conjugate addition of allyltrimethylsilane to α,β -unsaturated ketones **5a–c** gave olefins **6a–c**.¹⁷ Wacker oxidation of δ,ϵ -unsaturated ketones **6a–c** then furnished diketones **3a–c**.¹⁸ Interestingly, we found that the Wacker oxidation did not require an oxygen atmosphere. Simple stirring under air furnished the products in equivalent yield.¹⁹ Racemic reference compounds **4a–c** can be prepared by base treatment (KOH/MeOH) of ketones **3a–c** (Scheme 3).²⁰



The results of the antibody-catalyzed cyclization of diketones **3** are shown in Scheme 4.

(8) (a) Wagner, J.; Lerner, R. A.; Barbas, C. F., III. *Science* **1995**, *270*, 1797. (b) Barbas, C. F., III; Heine, A.; Zhong, G.; Hoffmann, T.; Gramatikova, S.; Björnstedt, R.; List, B.; Anderson, J.; Stura, E. A.; Wilson, I. A.; Lerner, R. A. *Science* **1997**, *278*, 2085–2092.

(9) (a) Hoffmann, T.; Zhong, G.; List, B.; Shabat, D.; Anderson, J.; Gramatikova, S.; Lerner, R. A.; Barbas, C. F., III. *J. Am. Chem. Soc.* **1998**, *120*, 2768–2779. (b) Zhong, G.; Shabat, D.; List, B.; Anderson, J.; Sinha, S. C.; Lerner, R. A.; Barbas, C. F., III. *Angew. Chem., Int. Ed. Engl.* **1998**, *37*, 2481–2484. (c) List, B.; Barbas, C. F., III; Lerner, R. A. *Proc. Natl. Acad. Sci. U.S.A.* **1998**, *15351*–15355.

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(16) Hosomi, A.; Kobayashi, H.; Sakurai, H. *Tetrahedron Lett.* **1980**, *21*, 955–958.

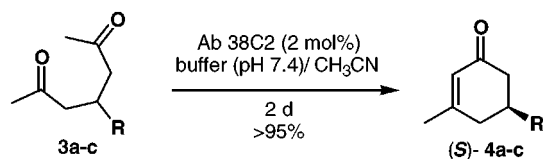
(17) Hosomi, A.; Sakurai, H. *J. Am. Chem. Soc.* **1977**, *99*, 1673–1675.

The yield of olefin **6c** was diminished from concurrent cyclobutane formation. A similar observation has been made by Hosomi et al. (ref 16).

(18) Tsuji, J.; Shimizu, I.; Yamamoto, K. *Tetrahedron Lett.* **1976**, 2975–2976.

(19) Doron Shabat, The Scripps Research Institute, personal communication.

Scheme 4



(S)-4	R	ee
a	Ph	42%
b	<i>n</i> -C ₅ H ₁₁	46%
c	<i>p</i> AcNHC ₆ H ₄ CH ₂ CH ₂	62%

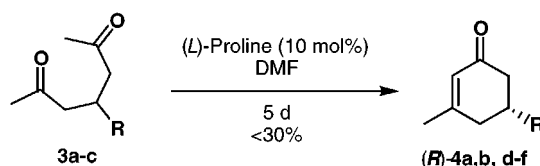
Antibody 38C2 (10 μ M, 2 mol %) catalyzes the cyclodehydration of diketones **3a-c** (500 μ M in phosphate buffered saline (PBS), pH 7.4, 10% CH₃CN) very efficiently to give the (S)-configured products **4a-c** with yields generally exceeding 95%.

The enantioselectivity of these reactions is moderate to good. The ee's were determined by chiral-phase HPLC analysis.²¹

To determine the absolute configuration of enones **4a-c**, we used the products from the L-proline-catalyzed reaction as a reference standard. This transformation is known to give the corresponding (R)-isomers (Scheme 5).

All products obtained from the L-proline-catalyzed reactions had a configuration opposite that of the products produced in the antibody-catalyzed reaction as determined

Scheme 5



(R)-4	R	ee
a	Ph	47%
b	<i>n</i> -C ₅ H ₁₁	20%
d	Me	42%
e	<i>i</i> Pr	8%
f	<i>t</i> Bu	0%

} ref. 15

by chiral-phase HPLC analyses.²⁰ Interestingly, and in contrast to these results, in the Wieland–Miescher case both L-proline and 38C2 gave the same enantiomer.

In summary, aldolase antibody 38C2 has been shown to be an efficient catalyst for the enantiogroup-differentiating cyclodehydration of 4-substituted 2,6-heptanediones. The observed enantioselectivities are modest in comparison to the exceptional high ee's that are usually obtained in aldol additions and *retro*-aldol reactions catalyzed by antibody 38C2.^{9a,b} However, product yields, purities, and to some extent enantioselectivities are far better than those obtained from the corresponding L-proline-catalyzed reactions.

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(20) All new compounds gave satisfactory spectroscopic data (¹H and ¹³C NMR and HRMS).

(21) **4a** and **4b**: Chiracell ODR, 60% H₂O (0.1% TFA), 40% CH₃CN, 0.6 mL/min. **4c**: Chiracell ODR, 70% H₂O (0.1% TFA), 30% CH₃CN, 0.6 mL/min. (S)-**4a** 46.5 min, (R)-**4a** 49.0 min; (S)-**4b** 51.8 min, (R)-**4b** 50.7 min; (S)-**4c** 48.2 min, (R)-**4c** 45.0 min.

